

Ten Steps to Financial Stability

FINANCIAL ADVISOR QUESTIONNAIRE

- What are your areas of specialization?
- Do you have any designations? If so, what are they and what do they mean?
- How many years of experience do you have?
- How do you get paid?
- What will it cost me to get your advice?
- Do you only provide advice or do you do the transactions as well?
- Do you hold the securities yourself or are they held at a third party?
- Do you work with my other advisors (attorney, accountant, etc.) or do you go it on your own?
- Tell me about your broker dealer.

Things to remember:

- Make sure you feel comfortable speaking with the person.
- Do they seem like someone you would be able to ask questions to without fear of being treated poorly?
- Did they listen to you and give you ideas consistent with what you said?
- Did they give you more than one recommendation or were they adamant about one way of doing things?
- Always trust your gut!